
Form ADV Part 2A

Brochure Cover Page

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Zik LLC a registered investment advisor, registration does not imply a certain level of skill or training.

10/16/2011

This brochure provides information about the qualifications and business practices of Zik LLC. If you have any questions about the contents of this brochure, please contact us ryariv@zikfinancial.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Zik LLC also is available on the SEC's website at www.adviserinfo.sec.gov.

Zik LLC

Item 2

The Securities and Exchange Commission has amended the rules regarding Form ADV Part II. Form ADV Part II, also known as a brochure, is furnished to new clients when they establish an advisory agreement with Zik LLC. Clients have been notified on an annual basis that updated copies of the brochure would be provided at their request.

Beginning in 2011, the brochure must be filed with the Securities and Exchange Commission and the Department of State where registration is effective. Each year Zik LLC will deliver to each client a summary of material changes that could affect the advisory relationship. Zik LLC will continue to offer the full updated brochure at no charge.

Since Zik LLC last annual update filing August 24, 2010 there has not been any material changes to report.

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Zik LLC

Item 4 Advisory Business

A. Zik LLC is a New York state-registered investment advisor firm providing investment management services to individuals, pension and profit sharing plans, 401 (k) plans, trusts, estates, charitable organizations and corporations. Zik LLC is located in New York, NY and has been in operation continuously since March 2006. The firm is owned and operated by its principal, Ram Yariv, who owns 100% of the firm.

B. Zik LLC provides clients with ongoing investment advisory service on a fee-only basis. Diversified client portfolios are primarily composed of three asset classes: Money market funds or other short-term investment vehicles; fixed income securities; and equity securities. Money market funds are utilized to provide liquidity and safety of principal. Fixed income instruments are held for income and stability. Equity investments are held to provide appreciation potential and generate a growing source of investment income.

Zik LLC conducts its own independent investment research and invests in individual publicly traded securities. Exchange traded funds and mutual funds may be used on occasion to gain exposure to specific sectors of the market. Options on equity securities may also be utilized as a sub-investment strategy when account circumstances warrant.

Zik LLC employs a value-oriented investment style utilizing a combination of growth and value stocks. Value stocks typically trade at low prices relative to anticipated earnings and have above average dividend yields. Growth stocks offer value when purchased at prices that do not reflect future growth potential. Investments are made in companies with strong underlying fundamentals such as recognized trademarks, strong balance sheets, and profitability, but whose share price is temporarily depressed due to some solvable company problem, external factor or other misunderstanding. Zik LLC primarily invests in large, domestic companies. Zik LLC also takes positions in small and mid-size companies as well as companies headquartered abroad.

Fixed income investments reflect our outlook for inflation and interest rates as well as underlying credit risk.

C. Investment portfolios are tailored to the needs of the individual client. Zik LLC works closely with the client to draft an investment policy statement which sets the parameters for the investment portfolio. Among other factors, the statement incorporates investment return expectations, risk tolerance, time horizon, and income requirements in formulating an asset allocation framework. The investment policy statement will also include any restrictions regarding specific investments. For example, some clients may wish not to invest in the tobacco industry. The investment policy statement is a dynamic instrument and is modified over time as client

circumstances change.

D. Zik LLC does not place client assets in wrap fee programs.

E. As of December 31, 2010, Zik LLC managed the following assets:

Discretionary Assets	\$508,000	(7 Accounts)
Non-discretionary Assets	\$0.00	(0 Accounts)
Total	\$508,000	(7 accounts total)

Item 5 Fees and Compensation

A. Fees are assessed based on the client's assets under management. The basic fee schedule is as follows:

Up to \$500K	2%
\$500K- \$1M	1.5%
\$1M - \$3M	1%
Above \$3M	0.75%

B. All fees are charged on a daily basis as a portion of the annual fee. Zik LLC has a \$100,000 account minimum. Related accounts are combined for fee calculation purposes. Fees on accounts in excess of \$5,000,000 are negotiable. A minimum fee of

\$500 will be assessed; however lower fees may apply subject to negotiation at the sole discretion of Zik LLC. A flat fee is available to those clients where appropriate and at the sole discretion of Zik LLC.

Clients have the option of being billed for our services or having the fee deducted directly from their account. The fee is calculated by the custodian and deducted on a daily or quarterly basis.

Although a detailed calculation is generated on a daily basis and monthly, it is the client's responsibility to verify the accuracy of the fee calculation. The custodian will not determine whether the fee is properly calculated. Please see Item 14 for a full description of custody services.

An hourly fee schedule is available to those persons seeking investment advice on a non-continuous basis. The schedule is as follows: Investment professional, \$150 - \$200 per hour.

C. In addition to investment management fees, the client is subject to brokerage commissions on equity transactions. Please see Item 12 for a complete description of our brokerage practices. Additional expenses may be incurred if third-party managed products are held in a portfolio. For example, exchange traded funds, mutual funds and closed-end funds have various management and administrative fees related to them. These related expenses are in addition to the management fees charged by Zik LLC.

Zik LLC does not receive any compensation for the sale of the third-party

managed products.

D. An advisory relationship may be terminated upon written notice by either the client or Zik LLC and shall be effective as of the close of business on the first business day following the receipt of such notice. In the event of termination, if any fees Zik LLC got paid in advance then they will be refunded, pro rata, to the client based on the number of days remaining in the quarter. The daily fee will be suspended on the close of the first business following the receipt of such notice.

E. Zik LLC does not receive compensation for the sale of securities or other investment products, including asset-based sales charges or service fees from the sale of mutual funds

Item 6 Performance-Based Fees and Side-By-Side Management

Zik LLC does not charge performance-based fees. Zik LLC believe that the asset-based fee schedule aligns our interests with the client's

Item 7 Types of Clients

Zik LLC provide investment management services to individuals, pension and profit sharing plans, 401(k) plans, trusts, estates, charitable organizations and corporations. The required minimum to establish an account is \$100,000. Zik LLC will accept accounts that are less than the minimum at our sole discretion. There is no minimum balance for maintaining an account. However, Zik LLC may, at our discretion, terminate an account that drops in value to a level where Zik LLC believe that Zik LLC can no longer add value as an investment manager.

Item 8 Methods of Analysis, Investment Strategies and Risk of Loss

A. Zik LLC conducts proprietary fundamental research to identify potential investments. Zik LLC employs a value-oriented investment style utilizing a combination of growth and value stocks. Value stocks typically trade at low prices relative to anticipated earnings and have above average dividend yields. Growth stocks offer value when purchased at prices that do not reflect future growth potential. Investments are made in companies with strong underlying fundamentals such as recognized trademarks, strong balance sheets, and profitability, but whose share price is temporarily depressed due to some solvable company problem, external

factor or other misunderstanding. Zik LLC invests primarily in large, domestic companies. However, Zik LLC also takes positions in small and mid size companies as well as companies headquartered abroad. Investment turnover, which represents the frequency of trading within a portfolio, is very low.

Fixed income investments reflect our outlook for inflation and interest rates as well as underlying credit risk. Bonds and other fixed income vehicles are held to provide investment income and stability of capital. Fixed income investments are not actively traded.

B. Zik LLC's management philosophy does not represent extreme positions or opportunistic styles. Portfolios diversified across asset classes helps mitigate volatility. None the less, all investments carry the risk of losses which clients should be prepared to bear.

Item 9 Disciplinary Information

Zik LLC does not have any firm or individual legal disciplinary events to report.

Item 10 Other Financial Industry Activities and Affiliations

Ram Yariv is affiliated with a New York real estate brokerage firm, Klara Madlin RE Inc, and spends 20 hours per week on real estate activities

Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Zik LLC has adopted a Code of Ethics expressing the firm's commitment to ethical conduct.

Zik LLC Code of Ethics describes the firm's fiduciary duties and responsibilities to clients.

The Principal of Zik LLC may buy or sell securities for his personal accounts identical to or different than those recommended to clients. It is the expressed policy of Advisor that he shall not prefer his own interest to that of an advisory client or make personal investment decisions based on the investment decisions of advisory clients.

Zik LLC adheres to all applicable Federal and State regulations governing registered investment advisory practices. The Zik LLC Code of Ethics further includes the firm's policy prohibiting the use of material nonpublic information.

Zik LLC will provide a complete copy of its Code of Ethics to any client or prospective client upon request.

Item 12 Brokerage Practices

A.

Zik LLC utilizes Interactive Brokers as its custodian for all client accounts. All things being equal, the client's custodian is engaged to execute all orders.

Factors which Zik LLC considers in recommending a broker-dealer/custodian to clients include the broker-dealer's/custodian's financial strength, reputation, execution, pricing, reporting, research, service, and state registration(s). The broker-dealer/custodian charges commission rates which are generally considered discounted from customary retail commission rates. The commissions and/or transaction fees charged by the broker-dealer/custodian may be higher or lower than those charged by other broker-dealers. Zik LLC will not receive any portion of the brokerage commissions and/or transactions fees charged to fee-only clients. In return for effecting securities transactions through the broker-dealer/custodian or other designated broker-dealer/custodian, Zik LLC may receive certain investment research products and/or services which assist Zik LLC in its investment decision-making process for the client, all of which transactions shall be in compliance with Section 28 (e) of the Securities Exchange Act of 1934.

The brokerage commissions and/or transaction fees charged by the broker-dealer/custodian or other designated broker-dealer/custodian are exclusive of, and in addition to, Zik LLC's investment management fee. Although the commissions paid by Zik LLC's clients shall comply with the duty to obtain best execution, a client may pay a commission that is higher than another qualified broker-dealer might charge to effect the same transaction where Zik LLC determines, in good faith, that the commission is reasonable in relation to the value of the brokerage and research services received. In seeking best execution, the determinative factor is not the lowest possible cost, but whether the transaction represents the best qualitative execution, taking into consideration the full range of broker-dealer services, including the value of research provided, execution capability, commission rates, and responsiveness. Accordingly, although Zik LLC will seek competitive rates, it may not necessarily obtain the lowest possible commission rates for client account transactions. Although the investment research products and/or services that may be obtained by Zik LLC will generally be used to service all of our clients, a brokerage commission paid by a specific client may be used to pay for research that is not used in managing that specific client's account.

Unless the client directs otherwise, Zik LLC shall generally recommend that all investment management accounts be maintained at Interactive Brokers LLC (IB)

1. Research and Other Soft Dollar Benefits

Since its inception Zik LLC has not been involved with any soft dollar agreements, but may choose to do so in the future.

2. Brokerage for Client Referrals.

Zik LLC does not receive client referrals from broker/dealers or third party providers. Custodians and brokers are selected solely based on the criteria described in Item 12, section A.

3. Directed Brokerage.

In the event that a client expressly directs Zik LLC to execute all or a portion of security transactions through a particular broker with which it does not have a relationship, the client shall be responsible for negotiating all terms. Correspondingly, Zik LLC will not be able to seek better execution service or prices from other brokers nor will it be able to aggregate the client transactions for execution with orders from other accounts that Zik LLC manages. Consequently, higher commissions or other transaction costs may result in less favorable net prices.

B.

When possible, orders for accounts held at the same custodian are aggregated, which may lead to a lower negotiated commission due to the larger order size. Aggregating orders also provides uniform execution prices.

Item 13 Review of Accounts

A. All client portfolios are formally reviewed on a quarterly basis. Portfolios are evaluated in relationship to investment objectives, asset allocation guidelines and risk tolerance. The review is conducted by the Principal, Ram Yariv

B. The Principal also reviews accounts in preparation for client meetings and as new investments are added to a portfolio or as security positions are reduced or eliminated.

C. The custodian provides an electronic statement of account holdings daily and monthly. Physical statements are mailed quarterly. The statements reflect current holdings, purchases and sales, investment income, and cash additions and withdrawals.

The custodian also provides confirmation notices for executed orders and year-end tax documents.

Zik LLC does not provide clients with its own written account statements.

Item 14 Client Referrals and Other Compensation

- A. Zik LLC does not engage or compensate outside sources for client referrals. All marketing efforts are conducted by registered representatives of the firm.
- B. Zik LLC has not been engaged in any referral agreements since its inception.

Item 15 Custody

Item 15 – Custody

Zik LLC does not maintain custody of client assets. The qualified custodian such as broker-dealer (Interactive Brokers, LLC) provides a written statement of account holdings no less than quarterly. Daily and monthly electronic statements are also provided. The Custodian also provides electronic confirmation notices for executed orders and year-end tax documents

Item 16 Investment Discretion

Zik LLC operates with full investment discretion. Zik LLC does not seek client approval prior to making investment changes. The discretionary nature of our advisory services is included in our contract of investment services, which must be signed by the client and an officer of the firm.

Client limitations on investment decisions are set during initial meetings when a formal investment policy statement is established. Limitations may include asset allocation, equity diversification characteristics, or specific industry exclusion. For example, some clients may not wish to make investments involving the tobacco or alcohol

Item 17 Voting Client Securities

A. The client has the option of voting their own securities or having us vote on their behalf. The option is made at account inception, but may be changed at any time. In general Zik LLC does not vote on behalf of its clients. When voting client securities, Zik LLC considers only those factors that relate to the client's investment(s) or that are established by the client's written instructions.

Zik LLC will keep certain records required by applicable law in connection with our proxy voting activities for clients and shall provide proxy-voting information to clients

upon their written or oral request.

B. Clients electing to vote securities themselves will receive proxies or other solicitations directly from their custodian or a transfer agent. Clients may contact their portfolio manager with questions about any solicitations they receive.

Item 18 Financial Information

A. The management of Zik LLC believes that the firm is financially sound. Zik LLC does not have any adverse financial information to disclose.

A balance sheet has not been provided because Zik LLC does not require or solicit prepayment of more than \$500 in fees per client, six months or more in advance. In addition, Zik LLC does not have custody of client funds or securities. Although Zik LLC has discretionary authority to place trades in client accounts and to deduct investment management fees where approved, Zik LLC does not have discretion to withdraw client funds or securities for any other purpose. Please see Item 14 for a full description of custody services and Item 15 for a full description of investment discretion

B. A balance sheet has not been provided because Zik LLC does not require or solicit prepayment of more than \$500 in fees per client, six months or more in advance.

Item 19 Requirements for State-Registered Advisers

A. Ram Yariv
Born 1957

Education Background

MBA, New York Institute of Technology, (Finance, International Marketing), 1988

B Sc, Tel Aviv University (Statistics, Economics), 1981-1984

Business Background

12/1996-09/2005 Monarch Financial Corp of America	Senior Investment Advisor
12/1990-12/1996 Ladenburg Thalmann	V.P Wealth Management
1988 -12/1990 Rosenkrantz Lyon & Ross	Stockbroker

B. Ram Yariv is affiliated with a New York real estate brokerage firm, Klara Madlin RE Inc, and spends 20 hours per week on real estate activities.

C. Zik LLC does not charge performance-based fees. Zik LLC believe that the asset-based fee schedule aligns our interests with the client's

D. Not applicable

E. Not applicable

Zik LLC

**Form ADV Part 2B
Brochure Supplement**

Ram Yariv

200 West 79th St Suite 4K
New York, NY 10024

Phone: 212 799 4264

This brochure supplement provides information about Ram Yariv that supplements the Zik LLC brochure. You should have received a copy of that brochure. Please contact Ram Yariv if you did not receive Zik LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ram Yariv is available on the SEC's website at www.adviserinfo.sec.gov.

Zik LLC

Item 2 Educational Background and Business Experience

Education and Business Backgrounds.

Ram Yariv
Born 1957

Education Background

MBA, New York Institute of Technology, (Finance, International Marketing), 1988

B Sc, Tel Aviv University (Statistics, Economics), 1981-1984

Business Background

12/1996-09/2005 Monarch Financial Corp of America	Senior Investment Advisor
12/1990-12/1996 Ladenburg Thalmann	V.P Wealth Management
1988 -12/1990 Rosenkrantz Lyon & Ross	Stockbroker

Item 3 Disciplinary Information

A,B,C,D - Non Applicable

Item 4 Other Business Activities

Ram Yariv is affiliated with a New York real estate brokerage firm, Klara Madlin RE Inc, and spends 20 hours per week on real estate activities

Item 5 Additional Compensation

Not Applicable

Item 6 Supervision

Zik LLC is a sole proprietorship, therefore the principal is self regulated

Item 7 Requirements for State-Registered Advisers

A. No disciplinary or any material event have occurred since the last brochure has been filed on August 24, 2010.

1. A, B, C, D, E -**Non Applicable**

2. A, B, C, D, E -**Non Applicable**

B. **Non Applicable**

